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ATTORNEY DOCKET NO. 10030753-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAR 29 2006

Inventor(s): Laura Wills Mirkarimi

Serial No.: 10/765647

Examiner: Duy Vu Nguyen Dao

Filing Date: Jan 26, 2004

Group Art Unit: 1765

Title: Method For Etching High Aspect Ratio Features In III-V Based Compounds For Optoelectronic Devices

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☐ Under 37 CFR 1.97(b).
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☒ Under 37 CFR 1.97(c) together with *either* a:
☒ Statement under CFR 1.97(e), or
☐ \$180.00 fee under 37 CFR 1.17(p).
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a
Statement under 37 CFR 1.97(e), *and*
\$180.00 fee as set forth in 37 CFR 1.17(p).
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☒ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

☒ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or

☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. , filed , now U.S. Patent No. , and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

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☒ **FEE AUTHORIZATION**

Please charge to Deposit Account **50-1078** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

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☒ I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.Date of Facsimile: **March 29, 2006**

Typed Name: Linda A. Timura

Signature: Linda A. Timura

Respectfully submitted,

Laura Wills Mirkarim

By

Juergen Krause-Polstorff
Attorney/Agent for Applicant(s)

Reg. No. 41,127

Date: **March 29, 2006**

Telephone No. 650 485-5904

Form PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTORNEY DOCKET NO. 10030753	SERIAL NO. 10/765847
	APPLICANT Laura Willis Mirkarimi	
	FILING DATE January 26, 2004	GROUP 1765

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME

FOREIGN PATENT DOCUMENT

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES	NO
		1 528 592 A2	05/04/2005	EP		

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	Flanders, D. C. et al., "Reactive Ion Etching of Indium Compounds using Iodine Containing Plasmas" J. Vac. Sci. Technology, B8 (6) Nov/Dec 1990, pp. 1990-1993.
	Liu, J. Q. et al., "Dry Etching Process in InP Gunn Device Technology Utilizing Inductively Coupled Plasma (ICP) System", 10th Intern. Conf. on Indium Phosphide and Related Materials, May 11-15, 1998, Tsukuba Japan, pp. 187-190.

EXAMINER

DATE CONSIDERED

* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)

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